



## Microcontainers as an oral delivery system for spray dried cubosomes containing ovalbumin

Nielsen, Line Hagner; Rades, Thomas; Boyd, Ben; Boisen, Anja

*Published in:*  
European Journal of Pharmaceutics and Biopharmaceutics

*Link to article, DOI:*  
[10.1016/j.ejpb.2016.12.008](https://doi.org/10.1016/j.ejpb.2016.12.008)

*Publication date:*  
2017

*Document Version*  
Peer reviewed version

[Link back to DTU Orbit](#)

*Citation (APA):*  
Nielsen, L. H., Rades, T., Boyd, B., & Boisen, A. (2017). Microcontainers as an oral delivery system for spray dried cubosomes containing ovalbumin. *European Journal of Pharmaceutics and Biopharmaceutics*, 118, 13-20. <https://doi.org/10.1016/j.ejpb.2016.12.008>

---

### General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
- You may freely distribute the URL identifying the publication in the public portal

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

**Microcontainers as an oral delivery system for spray dried cubosomes containing ovalbumin**

Line Hagner Nielsen<sup>1,A</sup>, Thomas Rades<sup>2</sup>, Ben Boyd<sup>3</sup>, Anja Boisen<sup>1</sup>

<sup>1</sup>Department of Micro and Nanotechnology, Technical University of Denmark, Kgs. Lyngby, Denmark

<sup>2</sup>Department of Pharmacy, Faculty of Health and Medical Sciences, University of Copenhagen, Copenhagen, Denmark

<sup>3</sup>Monash Institute of Pharmaceutical Sciences, Monash University, Melbourne, Australia

<sup>A</sup>Corresponding author: Technical University of Denmark, DTU Nanotech, Building 345C, Kongens Lyngby, Denmark. Tel: +4545256843 Fax: + 4545887762 E-mail address: lihan@nanotech.dtu.dk (L. Hagner Nielsen).

14 **Abstract**

15 The purpose of this study was to prepare cubosomes encapsulating the model antigen ovalbumin (OVA) via  
16 spray drying, and to characterise such cubosomes with a view for their potential application in oral vaccine  
17 delivery. Furthermore the cubosome formulation was loaded into polymeric microcontainers intended as  
18 an oral drug delivery system. The cubosomes consisted of commercial glyceryl monooleate, Dimodan®,  
19 containing OVA and were surrounded with a dextran shell prepared by spray drying. Cryo-TEM was used to  
20 confirm that cubosomes were formed after hydration of the spray dried precursor powder. The precursor  
21 powder had a mean particle size of  $1.3 \pm 0.1 \mu\text{m}$ , whereas the mean diameter of the dispersed cubosomes  
22 was  $282 \pm 7 \text{ nm}$  (PDI: 0.18) measured by dynamic light scattering.  $8.5 \pm 0.3 \%$  (w/w) of OVA was present in the  
23 cubosome powder and OVA was found released slowly over the first 70 h, followed by a more rapid  
24 release. Total release of  $47.9 \pm 2.8 \%$  of loaded OVA occurred over 96 h in a buffer at pH 6.8. When the  
25 powder was filled into microcontainers, and the opening covered with the pH sensitive polymer Eudragit  
26 S100, the pH sensitive 'lid' was intact at gastric pH, but release of OVA from the cubosomes and  
27 microcontainers occurred at pH 6.8, releasing  $44.1 \pm 5.6 \%$  of the OVA in 96 h. Small-angle X-ray scattering  
28 (SAXS) revealed that the 'dry' particles possessed an internal ordered lipid structure (lamellar and inverse  
29 micellar phase) by virtue of a small amount of residual water, and after hydration in buffer at pH 6.8, the  
30 particles formed the hexagonal inverse cubic phases, thereby indicating that cubosomes were formed  
31 when released from microcontainers.

## Introduction

Vaccination is often regarded as the most significant contribution to public health and disease prevention and moreover, it is a very cost-effective medical intervention [1,2]. Vaccination has reduced the morbidity and mortality resulting from diseases such as tuberculosis and smallpox and has thereby saved millions of lives. In spite of this, many infectious diseases remain endemic in large parts of the world, and therefore vaccination is an area in continuous development [1,2].

Most vaccines are administered by injection and there are only a few oral vaccines on the market such as rotavirus vaccine (as solution or suspension) and a capsule with vaccine formulation against typhoid fever [3]. Although, the oral route can be beneficial for vaccine administration [4,5]. Some of the advantages of oral vaccines are the ease of administration and an increased safety compared to injections. In addition, there is also a great potential for mass vaccination without the requirements of trained personnel [4,6]. Furthermore, oral vaccines have the ability to induce both mucosal and systemic immune responses [6,7], as shown in the 1990s with several HIV vaccines [8], and they are therefore considered ideal for combating infectious diseases. Although, oral vaccines have several attractive features, there are some major challenges.

The target of vaccine formulations in the gastro-intestinal (GI) tract is the M-cells in the intestine [9]. The antigen might be damaged, when passing through the harsh environment of the GI tract, which in turn will lead to the need for large doses. In addition, there is a poor transport of the antigen across the intestinal epithelium [4].

Traditional vaccines are mainly composed of heat-inactivated bacteria or viruses resulting in high immunogenicity. The risk with these types of vaccines is that they, in the body, can change to the active state and thereby infect the patients with the bacteria or virus and thus, leading to unwanted side effects [1,10]. Consequently, new generation vaccines are developed with subunit antigens. These subunit antigens are highly purified components of pathogens and thereby chemically well-defined. Hence, there is a much higher safety than for traditional antigens, but as the subunit antigens lack most of the features of the original pathogen they tend to be poorly immunogenic [1,10]. Therefore, to succeed with oral vaccine delivery, delivery systems need to be developed, in which the antigen can be encapsulated into particles [11,12]. These particles will assure presentation of the antigen to the antigen-presenting cells, but can also stabilise and release the antigen over an extended period of time [10]. Some particles will provide an adjuvant effect in themselves, but potent adjuvants can in addition also be added to the particulates for inducing an effective immunity [13].

There are many possibilities for vaccine delivery systems, and some of the most common ones are: polymeric micro- and nanoparticles, immunostimulatory complexes and liposomes [14,15]. Cubosomes have also shown to be an efficient delivery system for vaccines [11]. Cubosomes contain a highly twisted, continuous lipid bilayer with two congruent, non-intersecting water channels, giving the particles both hydrophobic and hydrophilic domains [11]. This offers great flexibility with respect to the types of compounds, that can be incorporated into the particles [16,17]. Rizwan et al. found that significantly higher amounts of antigen can be encapsulated in cubosomes compared to liposomes due to the larger surface area of cubosomes, and moreover in cubosomes, the antigen was also retained more efficiently compared to liposomes [11].

Traditionally, cubosomes are produced by mixing monoolein or phytantriol and water and thereby creating a high-energy dispersion followed by colloidal stabilisation using polymeric stabilisers [11,18,19]. However, it can be desirable to have the vaccine particles in a powder form (here termed “precursors”), and precursors of cubosomes have earlier been produced by either freeze drying [20] or spray drying [21–23]. In the spray drying process, dry powder precursors have the active ingredient incorporated, and upon hydration colloiddally stable cubosomes are spontaneously formed. The powder form of the vaccine formulation can be advantageous in terms of stability of the antigen. Also, there is no need for a cold-chain storage which is needed for traditional vaccines [4].

After oral administration of the vaccine formulations, the antigen needs to be protected in the stomach and during transportation to the small intestine. In the small intestine, the vaccine particles should be delivered to the microfold (M) cells of the peyer’s patches as they will present the antigen to the underlying immune cells and thereby obtain an immune response [24]. The particles, carrying the antigen (and adjuvant), can give some protection of the antigen through the GI environment, but often the particles will also degrade on the way to the intestine, and therefore more advanced drug delivery systems can be necessary. An example of these advanced drug delivery systems is microcontainers. Microcontainers are polymeric, cylindrical devices in the micrometre size range (Fig. 1) [25–27]. They have the potential for targeted and/or sustained delivery in the GI tract [28]. Some of the advantages of the microcontainers are that size and shape can be controlled very precisely. Furthermore, the devices allow for unidirectional release, as only one side of the microcontainer is open, compared to more conventional microparticles where release can occur from the whole surface area. This has shown to increase the drug concentration at the microdevice-cell interface and thereby, allowing for increased permeation of the drug *in vitro* leading to enlarged oral bioavailability of the drug [27,29,30]. In addition, the antigen can be protected inside the cavity of the microcontainer from the harsh environment of the stomach until release is desirable [31,32]. The microcontainers have previously shown to interact with the intestinal mucus resulting in prolonged drug absorption [27]. It is reported in the literature that one way to improve oral vaccine delivery is to extend the intestinal residence time [13], hence, the microcontainers can be a promising platform for this purpose. In this paper, SU-8 (an epoxy photoresist) was used as a model polymer for fabrication of the microcontainers [25,26,31], but microcontainers have also been fabricated using biopolymers such as poly-L-lactic acid (PLLA) [33,34].

The aim of this study was, as a proof-of-concept, to prepare and characterise cubosomes loaded with ovalbumin (OVA) in a spray dried powder form for future application in oral vaccine delivery. The precursor powder was filled into microcontainers for protection and release control, and the *in vitro* release was studied together with small-angle X-ray scattering (SAXS) to confirm whether cubosomes were released from the microcontainers as internally structured particles.

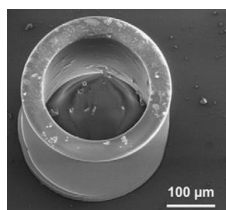


Fig. 1: SEM image of an SU-8 microcontainer with an inner diameter of 223 μm [26].

114  
115  
116  
117  
118  
119  
120  
121  
122  
123  
124  
125  
126  
127  
128  
129  
130  
131  
132  
133  
134  
135  
136  
137  
138  
139  
140  
141  
142  
143  
144  
145  
146  
147  
148  
149  
150  
151  
152  
153  
154  
155  
156

## Materials and methods

### Materials

OVA was purchased from TCI Europe (Zwijndrecht, Belgium). Dimodan® D90 was kindly donated by Danisco (Grindsted, Denmark). Dextran (from *Leuconostoc Mesenteroides*) and potassium dihydrogen phosphate were acquired from Sigma-Aldrich (St. Louis, MO, USA). Pierce BCA Protein Assay kit was purchased from Thermo Fisher Scientific (Rockford, IL, USA). Deionised water was obtained from an SG Ultra Clear water system (SG Water USA, LLC, Nashua, NH, USA) and was freshly produced in all cases. All other chemicals used were of analytical grade.

### Spray drying of precursors for cubosomes containing OVA

Cubosomes were prepared using a commercial source of glyceryl monooleate (GMO), Dimodan® MO 90/D. The cubosomes were loaded with OVA as a model antigen, and the particles were surrounded by a dextran shell. The particles were prepared by first dissolving GMO in ethanol (1.78 w/v %), and then mixing with a solution of OVA in MilliQ water (0.075 final w/w % of OVA). After 1 h of mixing, dextran dissolved in MilliQ water (1.77 w/v %) was added to the GMO/OVA solution (0.72 w/w % of GMO + OVA), and the final solution was spray dried using a B 290 Büchi mini spray dryer (Büchi Labortechnik AG, Flawil, Switzerland). Free OVA was not removed prior to the spray drying process.

For the spray drying of the precursors, a 0.7-mm nozzle was used and air was utilised as the drying medium. Spray drying was performed at an inlet temperature of 200 °C resulting in an outlet temperature of approximately 85 °C. The drying flow rate was set to 32 m<sup>3</sup>/h and an aspirator capacity of 80 % with a feed rate of 4 mL/min was used. Particles without OVA were also produced as blank particles and used as reference.

### Cryo-TEM of cubosomes

The precursors for the cubosomes with OVA were dispersed in MilliQ water at a concentration of 1 mg/mL. The samples for the Cryo-TEM studies were prepared in a controlled environment vitrification system (CEVS). A small amount of the sample (5 µL) was put on a carbon film supported by a copper grid and blotted with filter paper to obtain a thin liquid film on the grid. The grid was quenched in liquid ethane at –180 °C and transferred to liquid nitrogen (–196 °C). The samples were then examined using a Tecnai G2 F30 Transmission Electron Microscope (FEI, Eindhoven, The Netherlands) operating at a voltage of 300 kV and a working temperature of –180 °C. Images were recorded using Gatan UltraScan 1000 (2k × 2k) CCD camera (Gatan, California, USA).

### Size of particles

The size of the dry particles with the dextran shell was measured using aerosizer particle size analyser (Model 3321, TSI Incorporated, MN, USA) by setting the pump to 1.37 bar and with a capillary flow of 8 L/min. A small amount of powder was distributed on the plate and the particle size was measured in six replicates.

For the particles dispersed in water, the particle size distribution (Z-average), polydispersity (PDI) and zeta potential were determined using dynamic light scattering (Malvern Zetasizer, NanoZs ZEN 3600, Malvern, UK). Measurements were performed at 37°C, and the results presented are the mean of three successive

157 measurements of 100 s of at least three independent samples. Samples were diluted with water to adjust  
158 the signal level.

159

#### 160 OVA present in the cubosomes

161 Precursor powder (10 mg) was added to a solution of 20 mM phosphate buffer, pH 6.8 containing 5 %  
162 Triton X-100. After vortex mixing, the cubosomes were dissolved and a sample of 200 µL was taken out. A  
163 BCA Protein Assay kit was used to determine how much OVA was present in the cubosome powder by  
164 following the procedures for the standards and samples recommended by the manufacturer. The same  
165 process was performed with the blank cubosomes to check for any cross activity of the formulation. The  
166 absorbance was measured at 562 nm on a plate reader, and the obtained absorbance values were analysed  
167 against the standard curves prepared on the same day as the samples. OVA entrapment was then  
168 determined by calculating the difference between the total OVA added before the spray drying process and  
169 the free fraction of OVA in the solution. The experiments were performed in triplicates.

170

#### 171 Fabrication of SU-8 microcontainers

172 Production of the microcontainers involved two steps of photolithography with the negative epoxy-based  
173 photoresist, SU-8 [26,32]. The microcontainers were structured on a fluorocarbon coating deposited on top  
174 of the supporting silicon wafer by plasma polymerisation. This enabled dry removal of the fabricated SU-8  
175 devices from the support substrate in order to obtain individual microcontainers if needed [27,35]. The  
176 fabricated microcontainers had an inner diameter of  $223 \pm 3$  µm and a height of  $270 \pm 3$  µm (mean  $\pm$  SD, n=6).  
177 Silicon wafers supporting the microcontainers were finally cut into squares of  $12.8 \times 12.8$  mm<sup>2</sup> using an  
178 Automatic Dicing Saw from DISCO (Kirchheim b. München, Germany). Each chip contained arrays of 25 x 25  
179 containers with a pitch of 450 µm.

180

#### 181 Filling of microcontainers with powder precursors

182 Powder precursors were manually distributed on the microcontainer chip. The excess drug in between the  
183 microcontainers was then removed with pressurised air, resulting in powder-filled microcontainers [27].  
184 The chip with microcontainers was weighed before and after filling to determine the amount of drug filled  
185 into the microcontainers.

186

#### 187 Spray coating of the filled microcontainers with Eudragit S100

188 A spray coating system (ExactaCoat, Sono Tek, USA) equipped with an ultrasonic nozzle actuated at 120 kHz  
189 [36] was used to deposit Eudragit S100 (dissolved to a 2 % (w/w) solution in isopropyl alcohol) on the cavity  
190 of the drug-filled microcontainers in a set-up similar to previously described [33]. The generator power was  
191 set to 1.5 W, and the polymer solution was pumped through the nozzle at a flow rate of 100 µL/min.  
192 Nitrogen gas at a pressure of 10 mbar was used to direct the beam of droplets onto the microcontainers,  
193 and the distance between nozzle and substrate was 40 mm with the beam diameter on the substrate being  
194 approximately 4 mm. The lateral movements of the nozzle were controlled by an x-y stage and the nozzle  
195 path was defined in the equipment software. The nozzle was moved line-by-line at a speed of 25 mm/s, and  
196 the coating was repeated 60 times to obtain a coating thickness in the µm range.

197

#### 198 Release of OVA from the cubosomes

199 *In vitro* release of OVA from the cubosomes unconfined (bulk powder) and confined in microcontainers  
200 coated with Eudragit S100 was investigated on a  $\mu$ DISS profiler (pION INC, Woburn, MA). In both release  
201 studies, each channel was calibrated with its own OVA standard curve prior to the experiments. For the  
202 calibration curves, aliquots of OVA in water stock solution were repeatedly added to 10 mL of either a HCl  
203 solution or a phosphate buffer in order to achieve a range of defined standard concentrations, and the UV  
204 spectrum of each standard was recorded. The release experiments were performed at  $37\pm0.5^\circ\text{C}$  using a  
205 stirring rate of  $200\pm5$  rpm using 20 mm path length *in situ* UV probes on a  $\mu$ DISS profiler. The absorbance  
206 data was evaluated using 280 nm on the standard curve and utilising the 2<sup>nd</sup> derivative function in the Au  
207 Pro software affiliated with the  $\mu$ DISS profiler.

208  
209 The release of OVA from the precursor powder was studied in 20 mM phosphate buffer, pH 6.8 for 96 h.  
210 The *in situ* UV probes were situated in each sample vial containing 10 mg of powder and 10 mL of  
211 phosphate buffer was added. The probes scanned and detected the absorbance of released OVA.  
212 The release studies from the microcontainers were performed in a set-up similar to one previously  
213 described [25,27,33]. The chips with microcontainers were attached to cylindrical magnetic stirring bars  
214 (using carbon pads) and placed in the bottom of sample vials. The chips were covered with 10 mL of 0.1 M  
215 HCl pH 1.6 for 2 h and subsequently, the medium was changed to 10 mL of 20 mM phosphate buffer, pH  
216 6.8 for 96 h, and the *in situ* UV probes detected the absorbance.  
217 Both sets of experiments were performed in 3 replicates.

218  
219 Scanning electron microscopy of the microcontainers  
220 SEM was utilised to examine the microcontainers after filling, after spray coating of the lid of Eudragit S-  
221 100, and after release in phosphate buffer at pH 6.8. The examinations were carried out using a Phenom  
222 Pro scanning electron microscope (Phenom World, Eindhoven, the Netherlands). Prior to the investigations,  
223 the microcontainer chip was mounted onto metal stubs, and imaging was performed at an operation  
224 voltage of 10kV with a 600x magnification.

225  
226 SAXS determination of the structure of cubosomes loaded into microcontainers  
227 The SAXS/WAXS beamline at the Australian Synchrotron, Clayton, Australia [37] was used to determine the  
228 internal structure of the spray dried particles, when the cubosomes were confined in microcontainers and  
229 released from the devices. The X-ray beam had an energy of 11 keV, and the 2D SAXS patterns were  
230 collected using a Pilatus 1M camera (active area  $169 \times 179 \text{ mm}^2$  with a pixel size of  $172 \times 172 \mu\text{m}$ ), which  
231 was located 900 mm from the sample position. The total  $q$  range for the instrument configuration outlined  
232 above was  $0.02 < q < 1.06 \text{ \AA}^{-1}$ , and 2D SAXS patterns were collected for 1 sec. The in-house designed  
233 computer software 'ScatterBrain' was used to acquire and reduce these 2D patterns to 1D intensity versus  
234  $q$  profiles. The powder-filled microcontainers were separated from the base using a scalpel, and filled into a  
235 1.5 mL capillary and SAXS patterns were acquired in dry state followed by addition of 50  $\mu\text{L}$  of MilliQ water,  
236 where after patterns were acquired for a time period of 80 min. The set-up with empty microcontainers as  
237 an example can be seen in Fig. 2A, with an image of the microcontainers in a capillary in the X-ray beam  
238 shown in Fig. 2B.

239



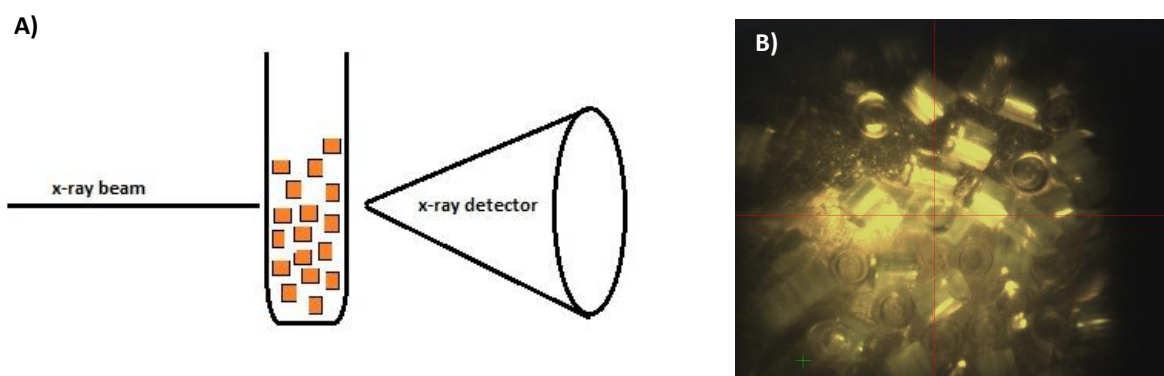


Fig. 2: A) Schematic of the SU-8 microcontainers filled into a capillary to be used in the SAXS/WAXS synchrotron. B) Micrograph showing the set-up with the microcontainers in the x-ray beam.

### Statistics

The data are expressed as mean  $\pm$  standard deviation (SD). Where appropriate, statistical analysis was carried out using Student t-tests using GraphPad Prism version 7.00 (GraphPad Software Inc., CA, USA). P-values below 5 % ( $p < 0.05$ ) were considered statistically significant.

### Results and discussion

For the production of the powder precursors of cubosomes, spray drying was chosen as this is a simple technique converting a solution to powder in a one-step process [38]. GMO has for many years been one of the lipids of choice for producing cubosomes, as it is non-toxic, biocompatible and biodegradable [39], and therefore it was decided to produce GMO particles in this study. The spray drying technique is convenient for producing the powder precursors, but GMO can be challenging to spray dry as it immediately forms the cubic phase upon hydration. Spicer et al. studied the effect of applying ethanol as a hydrotrope and this resulted in the formation of a low-viscous emulsion that was easily spray dried [21]. For this reason, in this study, GMO was first dissolved in ethanol and then added to the aqueous dextran solution. It has been reported that GMO itself produces sticky agglomerates after spray drying, and to obtain a more flowable powder an aqueous starch or a dextran solution can be added prior to spray drying resulting in the GMO being encapsulated in a dry starch or dextran shell [21–23]. In this work, it was chosen to add dextran as the anti-cohesion agent, and the produced powder was flowable and easy to hydrate. After production of the GMO powder precursors, the powder was hydrated and cryo-TEM was performed to identify whether cubosomes were obtained. It can be observed in Fig. 3 that cubic structures were found after hydration of the powder.

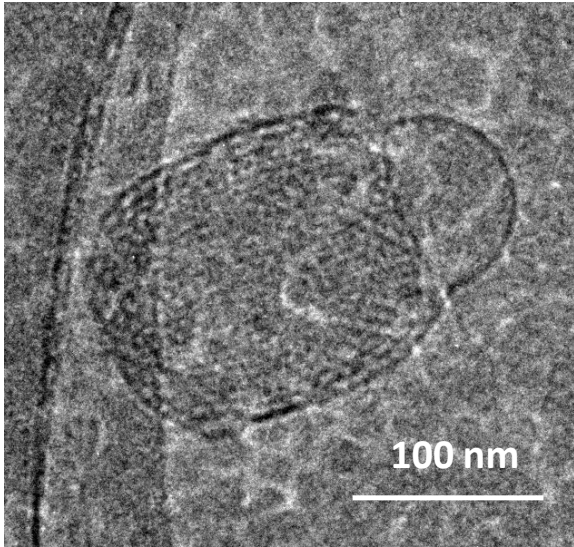


Fig. 3: Cryo-TEM image of a representative hydrated particle with a distinct cubic liquid crystalline structure. The resolution in cryo-TEM images is limited by the presence of dissolved dextran.

#### In vitro characterisation of the particulates

The size, shape and surface charge of a particulate vaccine carrier will influence its performance as a vaccine [40]. The dry powder with OVA and the dextran shell had a size of  $1.3 \pm 0.1 \mu\text{m}$ , whereas the dry blank particles without OVA had a size of  $1.6 \pm 0.1 \mu\text{m}$ . After hydration, self-assembled, close to neutrally charged nanoparticles were formed, with mean size of  $146.1 \pm 1.3 \text{ nm}$  and  $281.7 \pm 7.4 \text{ nm}$  for the blank and OVA-loaded particles, respectively (Table 1). There was a significant size difference between the blank and OVA-loaded particles ( $p\text{-value} < 0.0001$ ), and the PDI for both formulations was low, indicating homogeneous formulations. The particles were much smaller than those reported by Spicer et al., where the dry particles had a diameter of  $24 \mu\text{m}$ , and in the hydrated form the cubosomes were in average  $0.6 \mu\text{m}$  with a size distribution from  $0.1$  to  $5 \mu\text{m}$  [22]. In general, it is reported that the particle size should be between  $20 \text{ nm}$  to  $10 \mu\text{m}$  to be well recognised by the immune system [11], but more specifically for oral vaccine formulations, a size between  $200\text{-}500 \text{ nm}$  can be advantageous for uptake into the antigen-presenting cells after oral administration [40,41]. In relation to this, it can be observed that the size of the cubosomes with OVA is in this size range, and the cubosomes should therefore be able to be taken up by the antigen-presenting cells.

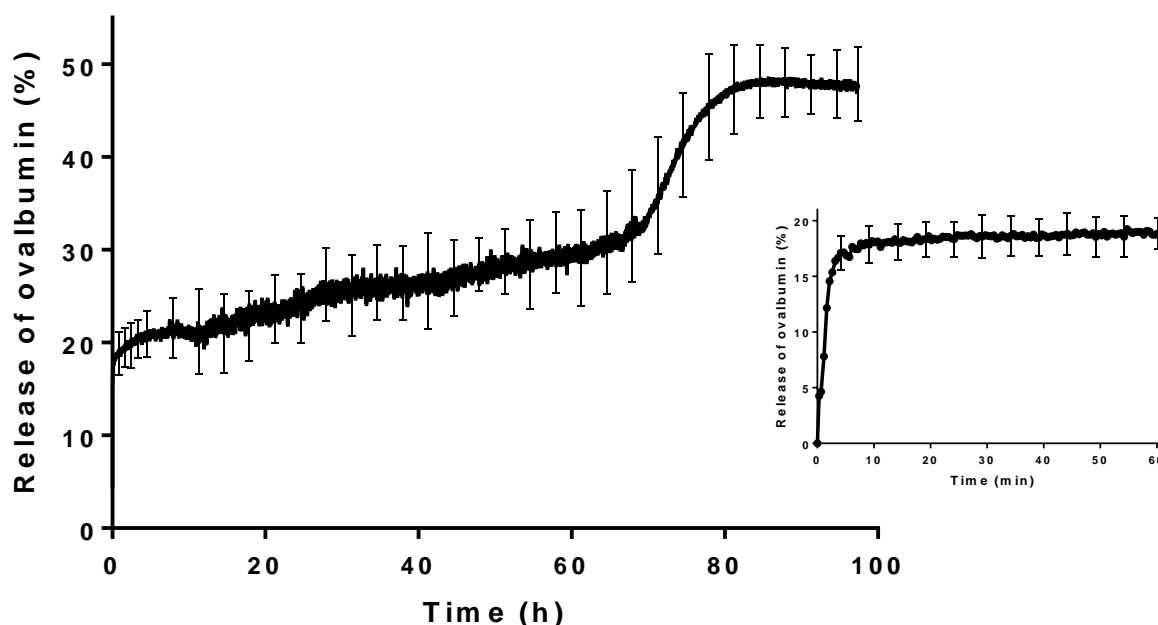
Table 1: Size measurements of the hydrated cubosomes with and without OVA dispersed in MilliQ water. The measurements were performed using dynamic light scattering in triplicates, and data are represented as mean  $\pm$  SD

	Z-average (nm)	PDI	Zeta potential (mV)
<b>Blank particles</b>	$146.1 \pm 1.3$	$0.15 \pm 0.02$	$-0.43 \pm 0.077$
<b>Particles with OVA</b>	$281.7 \pm 7.4$	$0.18 \pm 0.11$	$-0.18 \pm 0.042$

#### Presence and release of OVA in and from the particles

Before the release measurements, it was initially determined that  $8.5 \pm 0.3 \%$  (w/w) OVA was present in the cubosome powder. It is also well-known that cubosomes often provide a sustained release of a drug [39], and this is also observed in this study, where release studies in buffer at pH 6.8 showed that during the first

294 70 h, OVA was slowly released, followed by a more rapid release from 70-80 h. A total release of  $47.9 \pm 2.8\%$   
 295 was observed in relation to the total loading of OVA in the cubosomes over a 96 h period (Fig. 4). It can be  
 296 seen that there is a significant burst release of OVA from the cubosomes (insert in Fig. 4) of  $18.2 \pm 1.6\%$  in  
 297 the first 10 min. This is probably caused by the release of OVA from the powder just when the powder  
 298 precursors are dispersed in the aqueous solution, and thereafter the OVA entrapped in the channels of the  
 299 cubosomes is released. In the literature, it is reported that OVA was released during 168 h from cubosomes  
 300 resulting in a complete release [18]. A study preparing precursors of cubosomes by spray drying, but  
 301 encapsulating the highly lipophilic drug, efavirenz, also is reporting on a burst release of the drug of up to  
 302 16 %, with a total release in 12 h of up to 56 %, again indicating that when dispersing powder precursors in  
 303 aqueous solution a burst release is occurring [23]. A sustained release of OVA is also observed in this study  
 304 and this could be beneficial when developing vaccine formulations [42].  
 305



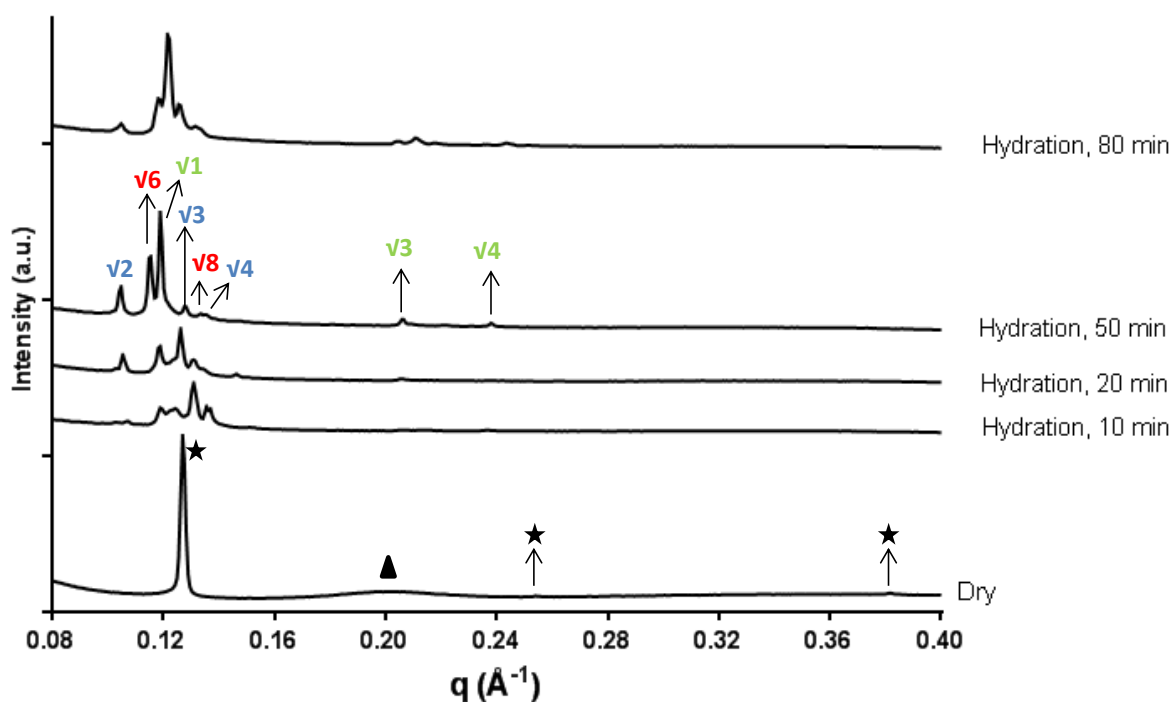
306  
 307 Fig. 4: Release of OVA from the cubosomes in 20 mM phosphate buffer pH 6.8, expressed as % of the total  
 308 content of OVA. The insert is showing the release over the first 60 min. The release study was performed in  
 309 triplicates, and the data represent mean  $\pm$  SD.  
 310

#### 311 Internal structure of particles formed upon hydration from microcontainers

312 SAXS/WAXS can be used to detect phase transformations in self-assembled lipid systems, and this was  
 313 utilised to identify whether particles released from the microcontainers contained internal nanostructures  
 314 consistent with cubosomes. Fig. 5 shows the plot of intensity versus the scattering vector  $q$  obtained from  
 315 the release of GMO particles in dry form and when the microcontainers containing the particles were  
 316 dispersed in water for a period of 80 min. For the dry particles, it can be observed that there are three  
 317 equally spaced peaks in the diffractogram (Fig. 5), indicating that the dry particles are in a lamellar phase  
 318 with the lattice parameter of  $49.5 \text{ \AA}$  (Table 2). There is also an inverse micellar phase present, indicated by  
 319 the broad peak at  $q \sim 0,2 \text{ \AA}^{-1}$  in the diffractogram) with a D-spacing of  $31.1 \text{ \AA}$ . This can be explained by the

320 presence of residual moisture in the spray dried powder. According to the phase diagram of GMO in water,  
 321 the inverse micellar phase and lamellar phase coexist at approximately 5 % water [43,44], consistent with  
 322 Spicer et al. reporting approximately 5 % (w/w) of moisture content in their spray dried cubosome powders  
 323 [22].

324  
 325 After hydration (here exemplified by the diffractogram at 50 min), the liquid crystalline nanostructured  
 326 particles showed a mix of phases, with peak indexing indicating coexisting inverse hexagonal ( $H_2$ ) phase  
 327 (peaks at  $v1 : v3 : v4$ ), . Pn3m cubic phase (peaks at  $v2 : v3 : v4$ ), and la3d cubic phase (peaks at  $v6 : v8$ ).  
 328 These three phases appear in the GMO + water phase diagram [44], and the calculated lattice parameters  
 329 are listed in Table 2. The presence of  $H_2$  and Pn3m cubic phases for commercial GMO samples in water  
 330 might be expected at full hydration, however the la3d cubic phase is only expected at less than full  
 331 hydration o the lipid. Therefore it is proposed that the particles were not completely hydrated after 50 min,  
 332 which is also supported by the fact that after 80 min the la3d phase appears even less prominent.  
 333



334  
 335 Fig. 5: 2D SAXS patterns were collected from cubosomes confined in microcontainers and followed while  
 336 the cubosomes were released from the microcontainers in MilliQ water. The cubosome filled  
 337 microcontainers were enclosed in a glass capillary during hydration for up to 80 min. After 50 min of  
 338 hydration the particles show a mix of phases with inverse hexagonal ( $H_2$ ) phase (peaks at  $v1 : v3 : v4$ ),  
 339 Pn3m cubic phase (peaks at  $v2 : v3 : v4$ ), and la3d cubic phase (peaks at  $v6 : v8$ ).  
 340  
 341  
 342

343 Table 2: Phase structure and lattice parameters obtained from SAXS measurements of dry particles and  
 344 particles released from microcontainers after hydration, here with an example after 50 min of hydration.

	Lattice parameters (Å)	
	Dry particles	Hydration for 50 min
Lamellar phase, $L_{\alpha}$	49.5	
Inverse micellar phase, $L_2$	31.1	
Inverse hexagonal phase, $H_2$		61.0
Inverse bicontinuous cubic phase, $Pn3m$		84.6
Inverse bicontinuous cubic phase, $Ia3d$		132.7

#### Loading of precursors into the microcontainers and coating of the pH sensitive lid

After successfully loading the cubosomes into the microcontainers (Fig. 6A), the cavity of the microcontainers was coated with Eudragit S100 (Fig. 6B) as this polymer will dissolve at a pH value of approximately 7 corresponding to the pH found in the small intestine around the M cells [9].

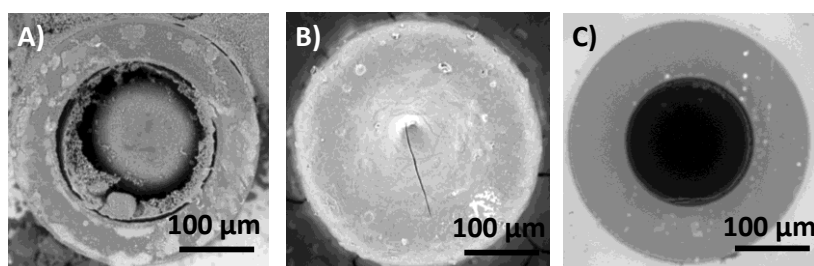


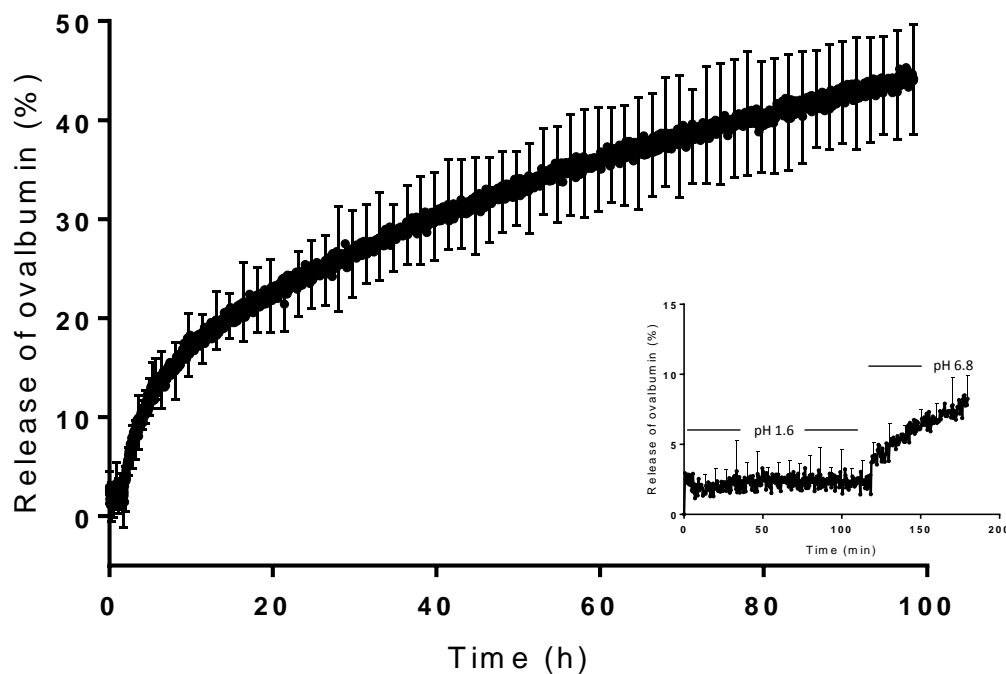
Fig. 6: SEM images of A) a cubosome-filled microcontainer, B) a filled microcontainers with a lid of Eudragit S100, and C) an empty microcontainer after release study in phosphate buffer pH 6.8.

#### Release of OVA loaded cubosomes from coated microcontainers

The coating on the cavity of the microcontainers can prevent the release until the intestine [33], and a large dose (approximately 2  $\mu$ g of powder) of the vaccine formulation can be loaded into the cavity of the microcontainers [27]. In the study, the cavity of the microcontainers was coated with the pH sensitive polymer Eudragit S100. The release of OVA from the cubosomes and microcontainers was first measured for 2 h in a pH value corresponding to the pH of the stomach (pH 1.6), and here, as expected, no release was observed due to the intact layer of the Eudragit lid (Fig. 7). After 2 h, the pH of the medium was changed to reflect that of the small intestine (pH 6.8). Fig. 7 shows that the release of OVA is occurring, and this indicate that the cubosomes are also released from the microcontainers as these are empty after the release studies (Fig. 6C). The release is appearing in a more controlled fashion than observed from the unconfined powder cubosomes (Fig. 4). The OVA release in pH 6.8 is  $44.1 \pm 5.6$  % in relation to the amount of OVA in the particles. This is comparable to the release from the bulk powder being 47.9 % after 96 h (p-value: 0.4311).

In the literature, a rice-based oral vaccine has shown to be efficient as a delivery system as it can protect the antigen from enzymes in the stomach [7]. The microcontainers have the same feature and therefore, there is a promise for the microcontainers to work as an oral vaccine system as well. When delivering vaccines by the oral route, the delivery system should be able to present the vaccine formulation to the M cells followed by transport to the immune cells to create a response. It has been shown to be effective to

373 keep the vaccine formulation inside a particle for a significant period of time [10,12], securing a slow  
 374 release. Therefore the slow release that the microcontainers and the cubosomes provide can be a great  
 375 advantage when delivering vaccines.  
 376



377  
 378 Fig. 7: Release of OVA from the cubosomes, when the vaccine formulation was confined in microcontainers.  
 379 The release of OVA is expressed as a % of the loaded OVA into the cubosomes. For the first 2 h the release  
 380 was measured in pH 1.6 followed by pH of 6.8 for up to 98 h. The data is presented in triplicates as a  
 381 mean $\pm$ SD.  
 382

### 383 Conclusion

384 Powder precursors of cubosomes loaded with OVA have been produced by spray drying, and it was  
 385 concluded that the precursors contained cubic structure in bulk as well as when released from  
 386 microcontainers. The microcontainers coated with an Eudragit S100 lid can serve as an oral vaccine delivery  
 387 system protecting the cubosomes through the GI tract until release occurs in the small intestine. For these  
 388 produced cubosomes to be completely developed as an oral vaccine system, an adjuvant needs to be  
 389 added to the particles to obtain the optimal effect of this system and further investigations are therefore  
 390 also needed for fully develop this oral vaccine delivery system.  
 391

### 392 Acknowledgement

393 Assoc. Prof. Stephan Sylvest Keller is acknowledged for the fabrication of the SU-8 microcontainers, and the  
 394 SAXS/WAXS beamline at the Australian Synchrotron is thanked as parts of these studies were performed  
 395 there. Furthermore, the authors would like to thank the Danish Research Council for Technology and  
 396 Production (FTP), Project DFF-4004-00120B for financial support, and in addition, the Danmarks

397 Grundforskningsfonds (project DNRF122) and Villum Fondens Center for Intelligent Drug Delivery and  
398 Sensing Using Microcontainers and Nanomechanics (IDUN) is acknowledged.

399

## Reference list

- 400 [1] Z. Zhao, K.W. Leong, Controlled delivery of antigens and adjuvants in vaccine development, *Journal*  
401 *of Pharmaceutical Sciences*. 85 (1996) 1261–1270. doi:10.1021/js9602812.
- 402 [2] A.M. Stern, H. Markel, The History Of Vaccines And Immunization: Familiar Patterns, New  
403 Challenges, *Health Affairs*. 24 (2005) 611–621. doi:10.1377/hlthaff.24.3.611.
- 404 [3] C. Czerkinsky, J. Holmgren, Vaccines against enteric infections for the developing world,  
405 *Philosophical Transactions of the Royal Society B: Biological Sciences*. 370 (2015) 2–13.  
406 doi:10.1098/rstb.2015.0142.
- 407 [4] A. Gebril, M. Alsaadi, R. Acevedo, A.B. Mullen, V.A. Ferro, Optimizing efficacy of mucosal vaccines.,  
408 *Expert Review of Vaccines*. 11 (2012) 1139–55. doi:10.1586/erv.12.81.
- 409 [5] X.M. Chen, I. Elisia, D.D. Kitts, Defining conditions for the co-culture of Caco-2 and HT29-MTX cells  
410 using Taguchi design, *Journal of Pharmacological and Toxicological Methods*. 61 (2010) 334–342.  
411 doi:10.1016/j.vascn.2010.02.004.
- 412 [6] A. Azizi, A. Kumar, F. Diaz-Mitoma, J. Mestecky, Enhancing Oral Vaccine Potency by Targeting  
413 Intestinal M Cells, *PLoS Pathogens*. 6 (2010) e1001147. doi:10.1371/journal.ppat.1001147.
- 414 [7] T. Nochi, H. Takagi, Y. Yuki, L. Yang, T. Masumura, M. Mejima, et al., Rice-based mucosal vaccine as a  
415 global strategy for cold-chain- and needle-free vaccination., *Proceedings of the National Academy of*  
416 *Sciences of the United States of America*. 104 (2007) 10986–10991. doi:10.1073/pnas.0703766104.
- 417 [8] M. Yu, M. Vajdy, Mucosal HIV transmission and vaccination strategies through oral compared with  
418 vaginal and rectal routes., *Expert Opinion on Biological Therapy*. 10 (2010) 1181–95.  
419 doi:10.1517/14712598.2010.496776.
- 420 [9] E. Sjögren, B. Abrahamsson, P. Augustijns, D. Becker, M.B. Bolger, M. Brewster, et al., In vivo  
421 methods for drug absorption - comparative physiologies, model selection, correlations with in vitro  
422 methods (IVIVC), and applications for formulation/API/excipient characterization including food  
423 effects., 2014. doi:10.1016/j.ejps.2014.02.010.
- 424 [10] R.W. Ellis, Technologies for the design, discovery, formulation and administration of vaccines,  
425 *Vaccine*. 19 (2001) 2681–2687. doi:10.1016/S0264-410X(00)00504-1.
- 426 [11] S.B. Rizwan, W.T. McBurney, K. Young, T. Hanley, B.J. Boyd, T. Rades, et al., Cubosomes containing  
427 the adjuvants imiquimod and monophosphoryl lipid A stimulate robust cellular and humoral  
428 immune responses, *Journal of Controlled Release*. 165 (2013) 16–21.  
429 doi:10.1016/j.jconrel.2012.10.020.
- 430 [12] T. Storni, T.M. Kündig, G. Senti, P. Johansen, Immunity in response to particulate antigen-delivery  
431 systems, *Advanced Drug Delivery Reviews*. 57 (2005) 333–355. doi:10.1016/j.addr.2004.09.008.
- 432 [13] A. Bolhassani, S. Safaiyan, S. Rafati, Improvement of different vaccine delivery systems for cancer  
433 therapy., *Molecular Cancer*. 10 (2011) 3. doi:10.1186/1476-4598-10-3.
- 434 [14] Y. Fujikuyama, D. Tokuhara, K. Kataoka, R.S. Gilbert, J.R. McGhee, Y. Yuki, et al., Novel vaccine  
435 development strategies for inducing mucosal immunity., *Expert Review of Vaccines*. 11 (2012) 367–  
436 79. doi:10.1586/erv.11.196.
- 437 [15] N. Mishra, A.K. Goyal, S. Tiwari, R. Paliwal, S.R. Paliwal, B. Vaidya, et al., Recent advances in mucosal  
438 delivery of vaccines: role of mucoadhesive/biodegradable polymeric carriers., *Expert Opinion on*  
439 *Therapeutic Patents*. 20 (2010) 661–79. doi:10.1517/13543771003730425.
- 440 [16] A. Lancelot, T. Sierra, J.L. Serrano, Nanostructured liquid-crystalline particles for drug delivery.,  
441 *Expert Opinion on Drug Delivery*. 11 (2014) 547–64. doi:10.1517/17425247.2014.884556.
- 442 [17] W. Leesajakul, M. Nakano, A. Taniguchi, T. Handa, Interaction of cubosomes with plasma  
443 components resulting in the destabilization of cubosomes in plasma, *Colloids and Surfaces B:*  
444 *Biointerfaces*. 34 (2004) 253–258. doi:10.1016/j.colsurfb.2004.01.010.
- 445 [18] S.B. Rizwan, D. Assmus, A. Boehnke, T. Hanley, B.J. Boyd, T. Rades, et al., Preparation of phytantriol  
446 cubosomes by solvent precursor dilution for the delivery of protein vaccines, *European Journal of*  
447 *Pharmaceutics and Biopharmaceutics*. 79 (2011) 15–22. doi:10.1016/j.ejpb.2010.12.034.



- 448 [19] S. Rizwan, T. Hanley, B. Boyd, T. Rades, S. Hook, Liquid Crystalline Systems of Phytantriol and  
449 Glyceryl Monooleate Containing a Hydrophilic Protein: Characterisation, Swelling and Release  
450 Kinetics, *Journal of Pharmaceutical Sciences*. 98 (2009) 4191–4204. doi:10.1002/jps.
- 451 [20] J. Kim, H. Kim, H. Chung, Y. Sohn, I. Kwon, S. Jeong, Drug formulations that form a dispersed cubic  
452 phase when mixed with water, *Rel. Bioact. Mater.* 27 (2000) 1118–1119.
- 453 [21] P.T. Spicer, K.L. Hayden, M.L. Lynch, A. Ofori-Boateng, J.L. Burns, Novel process for producing cubic  
454 liquid crystalline nanoparticles (cubosomes), *Langmuir*. 17 (2001) 5748–5756.  
455 doi:10.1021/la010161w.
- 456 [22] P.T. Spicer, W.B. Small, M.L. Lynch, J.L. Burns, Dry powder precursors of cubic liquid crystalline  
457 nanoparticles (cubosomes), *Journal of Nanoparticle Research*. 4 (2002) 297–311.  
458 doi:10.1023/A:1021184216308.
- 459 [23] A.M. Avachat, S.S. Parpani, Formulation and development of bicontinuous nanostructured liquid  
460 crystalline particles of efavirenz, *Colloids and Surfaces B: Biointerfaces*. 126 (2015) 87–97.  
461 doi:10.1016/j.colsurfb.2014.12.014.
- 462 [24] M.A. Islam, J. Firdous, Y.J. Choi, C.H. Yun, C.S. Cho, Design and application of chitosan microspheres  
463 as oral and nasal vaccine carriers: An updated review, *International Journal of Nanomedicine*. 7  
464 (2012) 6077–6093. doi:10.2147/IJN.S38330.
- 465 [25] L.H. Nielsen, S.S. Keller, A. Boisen, A. Mullertz, T. Rades, A slow cooling rate of indomethacin melt  
466 spatially confined in microcontainers increases the physical stability of the amorphous drug without  
467 influencing its biorelevant dissolution behaviour, *Drug Deliv. and Transl. Res.* 4 (2014) 7.
- 468 [26] L.H. Nielsen, S.S. Keller, K.C. Gordon, A. Boisen, T. Rades, A. Mullertz, Spatial confinement can lead  
469 to increased stability of amorphous indomethacin, *European Journal of Pharmaceutics and*  
470 *Biopharmaceutics*. 81 (2012) 418–425. doi:10.1016/j.ejpb.2012.03.017.
- 471 [27] L.H. Nielsen, A. Melero, S.S. Keller, J. Jacobsen, T. Garrigues, T. Rades, et al., Polymeric  
472 microcontainers improve oral bioavailability of furosemide, *International Journal of Pharmaceutics*.  
473 504 (2016) 98–109. doi:10.1016/j.ijpharm.2016.03.050.
- 474 [28] L.M. Ensign, R. Cone, J. Hanes, Oral drug delivery with polymeric nanoparticles: The gastrointestinal  
475 mucus barriers, *Advanced Drug Delivery Reviews*. 64 (2012) 557–570.  
476 doi:10.1016/j.addr.2011.12.009.
- 477 [29] H.D. Chirra, L. Shao, N. Ciacchio, C.B. Fox, J.M. Wade, A. Ma, et al., Planar microdevices for enhanced  
478 in vivo retention and oral bioavailability of poorly permeable drugs., *Advanced Healthcare Materials*.  
479 3 (2014) 1648–54. doi:10.1002/adhm.201300676.
- 480 [30] C.L. Randall, T.G. Leong, N. Bassik, D.H. Gracias, 3D lithographically fabricated nanoliter containers  
481 for drug delivery, *Advanced Drug Delivery Reviews*. 59 (2007) 1547–1561.  
482 doi:10.1016/j.addr.2007.08.024.
- 483 [31] A. Ahmed, C. Bonner, T.A. Desai, Bioadhesive microdevices with multiple reservoirs: a new platform  
484 for oral drug delivery, *Journal of Controlled Release*. 81 (2002) 291–306. doi:10.1016/s0168-  
485 3659(02)00074-3.
- 486 [32] S.L. Tao, T.A. Desai, Aligned arrays of biodegradable poly(epsilon-caprolactone) nanowires and  
487 nanofibers by template synthesis, *Nano Letters*. 7 (2007) 1463–1468. doi:10.1021/nl0700346.
- 488 [33] L.H. Nielsen, J. Nagstrup, S. Gordon, S.S. Keller, J. Østergaard, T. Rades, et al., pH-triggered drug  
489 release from biodegradable microwells for oral drug delivery, *Biomedical Microdevices*. 17 (2015) 1–  
490 7. doi:10.1007/s10544-015-9958-5.
- 491 [34] R.S. Petersen, S.S. Keller, A. Boisen, Hot punching of high-aspect-ratio 3D polymeric microstructures  
492 for drug delivery., *Lab on a Chip*. 15 (2015) 2576–9. doi:10.1039/c5lc00372e.
- 493 [35] S. Keller, D. Haefliger, A. Boisen, Optimized plasma-deposited fluorocarbon coating for dry release  
494 and passivation of thin SU-8 cantilevers, *Journal of Vacuum Science & Technology B:*  
495 *Microelectronics and Nanometer Structures*. 25 (2007) 1903. doi:10.1116/1.2806960.
- 496 [36] S.S. Keller, F.G. Bosco, A. Boisen, Ferromagnetic shadow mask for spray coating of polymer patterns,  
497 *Microelectronic Engineering*. 110 (2013) 427–431. doi:10.1016/j.mee.2013.03.029.

- 498 [37] N.M. Kirby, S.T. Mudie, A.M. Hawley, D.J. Cookson, H.D.T. Mertens, N. Cowieson, et al., A low-  
 499 background-intensity focusing small-angle X-ray scattering undulator beamline, *Journal of Applied*  
 500 *Crystallography*. 46 (2013) 1670–1680. doi:10.1107/S002188981302774X.
- 501 [38] A.A. Ambike, K.R. Mahadik, A. Paradkar, Stability study of amorphous valdecoxib, *International*  
 502 *Journal of Pharmaceutics*. 282 (2004) 151–162. doi:10.1016/j.ijpharm.2004.06.009.
- 503 [39] C.J. Drummond, C. Fong, Surfactant self-assembly objects as novel drug delivery vehicles, *Current*  
 504 *Opinion in Colloid and Interface Science*. 4 (1999) 449–456. doi:10.1016/S1359-0294(00)00020-0.
- 505 [40] M.F. Bachmann, G.T. Jennings, Vaccine delivery: a matter of size, geometry, kinetics and molecular  
 506 patterns., *Nature Reviews. Immunology*. 10 (2010) 787–96. doi:10.1038/nri2868.
- 507 [41] M. Hori, H. Onishi, Y. Machida, Evaluation of Eudragit-coated chitosan microparticles as an oral  
 508 immune delivery system, *International Journal of Pharmaceutics*. 297 (2005) 223–234.  
 509 doi:10.1016/j.ijpharm.2005.04.008.
- 510 [42] J. Myschik, F. Eberhardt, T. Rades, S. Hook, Immunostimulatory biodegradable implants containing  
 511 the adjuvant Quil-A - Part I: Physicochemical characterisation, *Journal of Drug Targeting*. 16 (2008)  
 512 213–223. doi:10.1080/10611860701848860.
- 513 [43] H. Aomori, T. Ishiguro, K. Kuwata, T. Kaneko, K. Ogino, Study on thermal and structural behavior of  
 514 monoacylglycerol-water systems. II. The phase behavior of monooleoylglycerol-water systems.,  
 515 *Journal of Japan Oil Chemists' Society*. 44 (1995) 1004–1011. doi:10.1017/CBO9781107415324.004.
- 516 [44] H. Qiu, M. Ca, The phase diagram of the monoolein / water system : metastability and equilibrium  
 517 aspects, *Biomaterials*. 21 (2000) 223–234.
- 518